



# INVESTMENTS CORPORATION

April 28, 2021

**PHILIPPINE STOCK EXCHANGE, INC.**

6<sup>th</sup> Floor, PSE Tower  
5<sup>th</sup> Avenue corner 28<sup>th</sup> Street  
Bonifacio Global City, Taguig City

Attention: **Ms. Janet A. Encarnacion**  
*Head, Disclosure Department*

**PHILIPPINE DEALING & EXCHANGE CORP.**

29<sup>th</sup> Floor, BDO Equitable Tower  
8751 Paseo de Roxas, Makati City

Attention: **Atty. Marie Rose M. Magallen-Lirio**  
*Head, Issuer Compliance and Disclosure Department*

Ladies and Gentlemen:

Please be informed that at the Organizational Board Meeting of SM Investments Corporation (SMIC) held today, April 28, 2021, via remote communication, the following were elected/appointed:

**I. Corporate Officers**

Jose T. Sio	- Chairman
Teresita T. Sy	- Vice Chairperson
Henry T. Sy, Jr.	- Vice Chairman
Frederic C. DyBuncio	- President and Chief Executive Officer
Harley T. Sy	- Executive Director
Alfredo E. Pascual	- Lead Independent Director
Erwin G. Pato	- Treasurer and Executive Vice President - Treasury, Finance, Planning & Administration
Atty. Elmer B. Serrano	- Corporate Secretary
Atty. Arthur A. Sy	- Assistant Corporate Secretary / SVP - Legal

**II. Advisers to the Board**

Elizabeth T. Sy  
Hans T. Sy  
Herbert T. Sy  
Gregory L. Domingo  
Roberto G. Manabat (Independent Adviser)

**III. Board Committees**

EXECUTIVE COMMITTEE

Teresita T. Sy	Chairperson
Harley T. Sy	Member
Frederic C. DyBuncio	Member
Jose T. Sio	Adviser

AUDIT COMMITTEE

Tomasa H. Lipana	Chairperson (Independent Director)
Alfredo E. Pascual	Member (Independent Director)
Jose T. Sio	Member

COMPENSATION COMMITTEE

Teresita T. Sy	Chairperson
Alfredo E. Pascual	Member (Independent Director)
Jose T. Sio	Member

CORPORATE GOVERNANCE COMMITTEE

Alfredo E. Pascual	Chairman (Independent Director)
Tomasa H. Lipana	Member (Independent Director)
Robert G. Vergara	Member (Independent Director)

RELATED PARTY TRANSACTION COMMITTEE

Alfredo E. Pascual	Chairman (Independent Director)
Robert G. Vergara	Member (Independent Director)
Jose T. Sio	Member

RISK MANAGEMENT COMMITTEE

Robert G. Vergara	Chairman (Independent Director)
Alfredo E. Pascual	Member (Independent Director)
Jose T. Sio	Member

IV. Other Officers

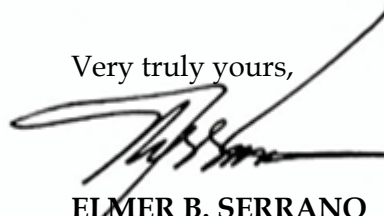
Franklin C. Gomez  
Atty. Arthur A. Sy

Wellington Palmero  
Anastacio C. Balubar II  
Atty. Elmer B. Serrano

- Corporate Information Officer/SVP-Finance
- Alternate Corporate Information Officer /  
Asst. Corporate Secretary
- Chief Risk Officer and Compliance Officer
- Internal Auditor
- Corporate Secretary

Thank you.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Elmer B. Serrano', with a long, sweeping flourish extending upwards and to the right.

**ELMER B. SERRANO**  
Corporate Secretary