



SM INVESTMENTS

November 13, 2023

**THE PHILIPPINE STOCK EXCHANGE, INC.**

6<sup>th</sup> Floor, PSE Tower  
28<sup>th</sup> St. corner 5<sup>th</sup> Ave.  
BGC, Taguig City

Attention: **Ms. Alexandra D. Tom Wong**  
*Officer-In-Charge, Disclosure Department*

**PHILIPPINE DEALING & EXCHANGE CORP.**

29<sup>th</sup> Floor, BDO Equitable Tower  
8751 Paseo de Roxas, Makati City

Attention: **Atty. Marie Rose M. Magallen-Lirio**  
*Head, Issuer Compliance and Disclosure Department*

Re: **Corporate Governance Training Certificates of Attendance of Board  
of Directors and Key Officers**

=====

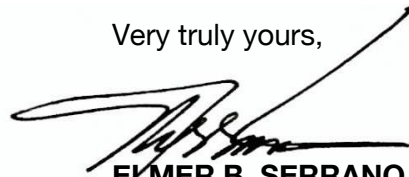
Ladies and Gentlemen:

In line with the Code of Corporate Governance for Publicly Listed Companies, please find attached the attendance of our directors and officers to their annual Corporate Governance training for the year 2023.

Earlier disclosure is being amended to include the Certificates of Attendance of other directors.

Thank you.

Very truly yours,

  
**ELMER B. SERRANO**  
Corporate Secretary

Encl. a/s



Institute of Corporate Directors

presents this

## Certificate of Participation

to

# Amando M. Tetangco, Jr.

SM Investments Corporation / SM Prime Holdings, Inc. /  
Belle Corporation

for having completed the hybrid training

## 2023 Corporate Governance Seminar

held on

October 16, 2023 | 1:00 PM – 5:00 PM

at Conrad Manila, Pasay City and  
through Zoom Webinars

A handwritten signature in black ink, appearing to read 'Carlos Jose P. Gatmaitan', is written over a faint, repeating circular watermark pattern.

Carlos Jose P. Gatmaitan  
Chief Executive Officer



Institute of Corporate Directors

presents this

## Certificate of Participation

to

# Henry T. Sy, Jr.

SM Investments Corporation / SM Prime Holdings, Inc. /  
Belle Corporation

for having completed the hybrid training

## 2023 Corporate Governance Seminar

held on

October 16, 2023 | 1:00 PM – 5:00 PM

at Conrad Manila, Pasay City and  
through Zoom Webinars

Carlos Jose P. Gatmaitan  
Chief Executive Officer



Institute of Corporate Directors

presents this

## Certificate of Participation

to

# Frederic C. DyBuncio

SM Investments Corporation / Belle Corporation / Premium Leisure Corp. / Atlas Consolidated Mining and Development Corporation

for having completed the hybrid training

## 2023 Corporate Governance Seminar

held on

October 16, 2023 | 1:00 PM – 5:00 PM

at Conrad Manila, Pasay City and  
through Zoom Webinars

A handwritten signature in black ink, appearing to read 'Carlos Jose P. Gatmaitan', is written over a faint, repeating circular watermark pattern.

Carlos Jose P. Gatmaitan  
Chief Executive Officer



Institute of Corporate Directors

presents this

## Certificate of Participation

to

**Tomasa H. Lipana**

SM Investments Corporation

for having completed the hybrid training

## 2023 Corporate Governance Seminar

held on

October 16, 2023 | 1:00 PM – 5:00 PM

at Conrad Manila, Pasay City and

through Zoom Webinars

A handwritten signature in black ink, appearing to read 'Carlos Jose P. Gatmaitan', is written over a faint, repeating circular watermark pattern.

Carlos Jose P. Gatmaitan  
Chief Executive Officer



Institute of Corporate Directors

presents this

## Certificate of Participation

to

# Robert G. Vergara

SM Investments Corporation

for having completed the hybrid training

## 2023 Corporate Governance Seminar

held on

October 16, 2023 | 1:00 PM – 5:00 PM

at Conrad Manila, Pasay City and

through Zoom Webinars

A handwritten signature in black ink, appearing to read 'Carlos Jose P. Gatmaitan', is written over a faint, repeating circular pattern.

Carlos Jose P. Gatmaitan  
Chief Executive Officer



Institute of Corporate Directors

presents this

## Certificate of Participation

to

**Ramon M. Lopez**

SM Investments Corporation

for having completed the hybrid training

## 2023 Corporate Governance Seminar

held on

October 16, 2023 | 1:00 PM – 5:00 PM

at Conrad Manila, Pasay City and

through Zoom Webinars

A handwritten signature in black ink, appearing to read 'Carlos Jose P. Gatmaitan', is written over a faint, repeating circular pattern.

Carlos Jose P. Gatmaitan  
Chief Executive Officer



Institute of Corporate Directors

presents this

## Certificate of Participation

to

# Elmer B. Serrano

SM Investments Corporation / SM Prime Holdings, Inc. / Premium Leisure Corp. / Atlas Consolidated Mining and Development Corporation

for having completed the hybrid training

## 2023 Corporate Governance Seminar

held on

October 16, 2023 | 1:00 PM – 5:00 PM

at Conrad Manila, Pasay City and  
through Zoom Webinars

A handwritten signature in black ink, appearing to read 'Carlos Jose P. Gatmaitan', written over a faint circular watermark.

Carlos Jose P. Gatmaitan  
Chief Executive Officer



Institute of Corporate Directors

presents this

## Certificate of Participation

to

# Franklin C. Gomez

SM Investments Corporation

for having completed the hybrid training

## 2023 Corporate Governance Seminar

held on

October 16, 2023 | 1:00 PM – 5:00 PM

at Conrad Manila, Pasay City and

through Zoom Webinars

Carlos Jose P. Gatmaitan  
Chief Executive Officer



Institute of Corporate Directors

presents this

## Certificate of Participation

to

**Elizabeth Anne C. Uychaco**

SM Investments Corporation / Belle Corporation

for having completed the hybrid training

## 2023 Corporate Governance Seminar

held on

October 16, 2023 | 1:00 PM – 5:00 PM

at Conrad Manila, Pasay City and

through Zoom Webinars

A handwritten signature in black ink, appearing to read 'Carlos Jose P. Gatmaitan', is written over a faint, repeating circular watermark pattern.

Carlos Jose P. Gatmaitan  
Chief Executive Officer



Institute of Corporate Directors

presents this

## Certificate of Participation

to

**Erwin G. Pato**

SM Investments Corporation

for having completed the hybrid training

## 2023 Corporate Governance Seminar

held on

October 16, 2023 | 1:00 PM – 5:00 PM

at Conrad Manila, Pasay City and

through Zoom Webinars

Carlos Jose P. Gatmaitan  
Chief Executive Officer



Institute of Corporate Directors

presents this

## Certificate of Participation

to

# Arthur A. Sy

SM Investments Corporation / SM Prime Holdings, Inc. /  
Belle Corporation / Premium Leisure Corp.

for having completed the hybrid training

## 2023 Corporate Governance Seminar

held on

October 16, 2023 | 1:00 PM – 5:00 PM

at Conrad Manila, Pasay City and  
through Zoom Webinars

Carlos Jose P. Gatmaitan  
Chief Executive Officer



# *Certificate of Attendance*

*is presented to*

**TERESITA T. SY**

*for attending and participating in the*

**2023 Annual Corporate Governance Seminar**

*Topics:*

*Global Trends in Banking: Business and Technology*

*Generative Artificial Intelligence*

*August 2, 2023*

*8:00 am – 12:30 pm*

*Narra Hall, BDO Towers Valero, Makati City*

A handwritten signature in black ink, appearing to read "Federico P. Tancongo", is written over the printed name.

**Atty. Federico P. Tancongo**

Senior Vice President & Chief Compliance Officer

BDO Unibank, Inc.

*This seminar is accredited by the Securities and Exchange Commission (SEC) per its advisement letter dated 25 July 2023 signed by Atty. Rachel Esther J. Gumtang-Remalante, Director of Corporate Governance and Finance Department, with reference number 202307-038-OD.*



# *Certificate of Attendance*

*is presented to*

**HARLEY T. SY**

*for attending and participating in the*

**2023 Annual Corporate Governance Seminar**

*Topics:*

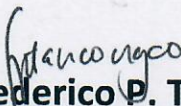
*Global Trends in Banking: Business and Technology*

*Generative Artificial Intelligence*

*August 2, 2023*

*8:00 am – 12:30 pm*

*Narra Hall, BDO Towers Valero, Makati City*

  
**Atty. Federico P. Tancongco**  
Senior Vice President & Chief Compliance Officer  
BDO Unibank, Inc.

*This seminar is accredited by the Securities and Exchange Commission (SEC) per its advisement letter dated 25 July 2023 signed by Atty. Rachel Esther J. Gumtang-Remalante, Director of Corporate Governance and Finance Department.*